

WDN/SAS:gte 05/02/01 178-59010 48844.doc

JC1Z Resd PCT/PTO 0 2 MAY 200%

EXPRESS MAIL LABEL NO. EL828141124US DATE OF DEPOSIT: May 1, 2001

FORM PTO-1390	U.S. DEPARTMENT OF O	ATTORNEY'S DOCKET NUMBER								
1	SMITTAL LETTER	178-59010								
DESIGNATED/ELECTED OFFICE (DO/EO/US)			U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.5)							
CON	CERNING A FILING	09/831000								
INTERNATIONAL PCT/US99/2626		INTERNATIONAL FILING DATE November 5, 1999	PRIORITY DATE CLAIMED November 6, 1998							
TITLE OF INVENTION CLONING OF RHESUS MACAQUE RHADINOVIRUS GENOME AND METHODS FOR ITS USE										
APPLICANT(S) FOR DO/EO/US										
Scott W. Wong, Michael K. Axthelm, and Robert P. Searles Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
Applicant nerewith submits to the Office Designated Elected Office (BO/EO/OS) the following items and other information. 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.										
2.	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.									
3. □										
3. L	This is an express request to begin national examination procedures (35 U.S.C. § 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).									
4. ⊠	A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date.									
5. 🛚	A copy of the International Application as filed (35 U.S.C. § 371(c)(2))									
	a. is transmitted herewith (required only if not transmitted by the International Bureau).									
	b. has been transmitted by the International Bureau.									
	c. 🛛 is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. 🗆	A translation of the International Application into English (35 U.S.C. § 371(c)(2)).									
7. 🛛	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))									
	a. are transmitted herewith (required only if not transmitted by the International Bureau).									
	b. have been transmitted by the International Bureau.									
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. Mave not been made and will not be made.									
8. 🗆	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).									
9. 🛛	An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)).									
10. 🗖	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).									
Items 11. to 16. below concern document(s) or information included:										
11. 🗆	An Information Disclosure Stat	ement under 37 C.F.R. §§ 1.97 and 1.98.								
12. 🗆		an assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 and the Recordal fee of \$40.00 is included.								
13. 🛛	A FIRST preliminary amendment.									
	☐ A SECOND or SUBSEQUENT preliminary amendment.									
14. 🛛	14. Sequence Listing: Paper copy, 293 pages; computer readable copy on diskette.									
15. 🛛	 ∑ Statement in Compliance with 37 C.F.R. § 1.821(f) verifying identity of above copies. 									
16.	Other items or information:	· · · ·	THE RECORD STATE AND A PARTY SPIRITERING BY							
_	☐ Written Opinion.									
	☐ Preliminary Examination R	eport.	24197							
☐ International Search Report.										
ì	☐ Copies of References Cited									



Rec'd PCT/PTO 0 2 MAY 2001

WDN/SAS:gte 05/02/01 178-59010 48844.doc

EXPRESS MAIL LABEL NO. EL828141124US DATE OF DEPOSIT: May 1, 2001

U.S. APPLIC	S. APPLICATION NO. (If known, see 37.6.F.R. § 16) O INTERNATIONAL APPLICATION NO. PCT/US99/26260			ATTORNEY'S DOCKET NUMBER 178-59010						
						CULATIONS	(PTO USE ONLY)			
17. The following fees are submitted:										
BASIC NATIONAL FEE (37 C.F.R. §§ 1.492(a)(1)-(5)):										
Neither International Preliminary Examination fee (37 C.F.R. § 1.482) nor International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO										
International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00										
International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO										
International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)										
International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)										
ENTER APPROPRIATE BASIC FEE AMOUNT =						860.00				
◆ Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)).						0.00				
C	LAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total cl	aims	34 - 20 =	14	x \$18.00	\$	252.00				
Independent Claims		2 - 3 =	0	x \$80.00	\$	0.00	-			
MULTI	PLE DEPEND	ENT CLAIM(S) (if appli	cable)	+ \$270.00	\$	0.00				
			AL OF ABOVE CA		\$	1,112.00	# ·=·			
⊠ Rec	luction of 1/2 f	or filing by small entity.	Small entity status is clain	ned for this application.	\$	556.00				
				SUBTOTAL =	\$	556.00				
Processing fee of \$130.00 for furnishing the English translation later than 20 30 Months from the earliest claimed priority date (37 C.F.R. §§ 1.492(f)). +										
			TOTAL N	ATIONAL FEE =	\$	556.00				
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be					\$					
accomp	anied by an ap	propriate cover sheet (37 (0.00 per property. + ES ENCLOSED =	\$	556.00				
			IOTALFE	ES ENCLOSED -			•			
					-	REFUND →	\$			
						CHARGE →	\$			
a. 🔀	A check in the	e amount of \$ <u>556.00</u> to co	over the above fees is enc	losed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. 🔯	c. The Director is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 02-4550. A duplicate copy of this sheet is enclosed.									
d. 🔀										
NOTE: Where an appropriate time limit under 37 C.F.R. § 1.494 or § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.										
SEND ALL CORRESPONDENCE TO:					1)	Joonan	·			
	KLARQUIST	ŞPARKMAN CAMPBE	LL	JOHATOIL						
LEIGH & WHINSTON, LLP William D. Noo				onan, M	<u>.D.</u>					
One World Trade Center, Suite 1600 121 S.W. Salmon Street				NAME						
Portland, OR 97204-2988 30,878 REGISTRATI						ON NUMBER				